

OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

NOTIFICATION OF NEGOTIATION OR AGREEMENT

Anne L. Austin

(b) (6)

11/12/2020

Your full name

Your telephone number\*

Date negotiation or agreement began

Principal Deputy Assistant Administrator

OAR - HQ

(b) (6)

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
| 3. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?  
See page 2.

If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

For as long as I am negotiating for or have an agreement of employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1).

Anne L. Austin

11/15/2020

Your signature (print this and sign manually; no digital signatures)

Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

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NOTIFICATION OF NEGOTIATION OR AGREEMENT

Anne L. Austin

(b) (6)

11/16/2020

Your full name

Your telephone number\*

Date negotiation or agreement began

Principal Deputy Assistant Administrator

OAR - HQ

(b) (6)

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

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RECUSAL STATEMENT

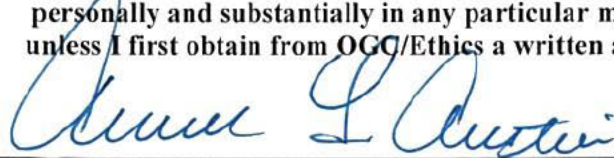
Check the appropriate box for each statement.

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
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11/18/2020

Your signature (print this and sign manually; no digital signatures)

Date signed

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NOTIFICATION OF NEGOTIATION OR AGREEMENT

Anne L. Austin

(b) (6)

12/11/2020

Your full name

Your telephone number\*

Date negotiation or agreement began

Principal Deputy Assistant Administrator -

Office of Air and Radiation

(b) (6)

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

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RECUSAL STATEMENT

Check the appropriate box for each statement.

Yes

No

1. ☒ ☐ EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.
2. ☒ ☐ My office in EPA does work that affects the non-federal entity with which I am seeking employment.
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12/14/2020

Your signature (print this and sign manually; no digital signatures)

Date signed

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OGC/Ethics Use Only:

received unsigned on 12/14/20; signature added on 12/16/20 when filer was able to get to a scanner -- JF



**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Anne L. Austin

Your full name

Principal Deputy Assistant Administrator -

OAR

Your Title / Office / AAship or Region

(b) (6)

Your telephone number\*

12/14/2020

Date negotiation or agreement began

(b) (6)

Your email address\*

(b) (6)

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

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## RECUSAL STATEMENT

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- |    | Yes                                 | No                       |   |
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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

**ANNE AUSTIN**

Your signature

Digitally signed by ANNE AUSTIN  
Date: 2020.12.16 10:30:21 -05'00'

OGC/Ethics Use Only: received 12/16/20 JF



**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

**Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.**

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check “yes” to statement 2.

- Consider the particular matters your office works on and whether there is any connection to the work of this entity. Does your office work on permits, investigations, litigation, grants, licenses, contracts, applications, enforcement cases, or other similar types of matters where there is an identified non-federal entity (i.e., particular matters involving specific parties)?
- Also consider whether your office is involved in scientific programs, media programs, or other types of policies, procedures, guidance documents, regulations, etc., that would affect this particular industry or sector (i.e., particular matters of general applicability).

**Statement 3: The work I participate in affects or will affect the non-federal entity with which I am seeking employment.**

Think about the nexus between your work and the non-federal entity as well as its respective class, industry or sector. The closer the nexus between your work and the sector the non-federal entity belongs to, the more likely you will check have to check “yes” to statement 3.

- Will the work you do affect the sector? Don’t concentrate on whether your personal contributions will be determinative but rather, overall, how the outcome of the work itself will affect the sector and the non-federal entity with which you are negotiating.
- Do you advise on or consult with your colleagues’ projects and work? Does their work affect this sector or the non-federal entity?
- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

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**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Brittany Bolen

Your full name

(b) (6)

Your telephone number\*

11/24/2020

Date negotiation or agreement began

Associate Administrator, Office of Policy

Your Title / Office / AAship or Region

bolen.brittany@epa.gov

Your email address\*

(b) (6)

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

## RECUSAL STATEMENT

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- |    | Yes                                 | No                                  |   |
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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

**BRITTANY BOLEN**

Your signature



Digitally signed by BRITTANY BOLEN  
Date: 2020.11.24 19:58:54 -05'00'

OGC/Ethics Use Only: received 11/24/20. JF

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
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- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
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## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Brittany Bolen

Your full name

(b) (6)

Your telephone number\*

11/25/2020

Date negotiation or agreement began

Associate Administrator, Office of Policy

Your Title / Office / AAship or Region

bolen.brittany@epa.gov

Your email address\*

(b) (6)

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

**BRITTANY BOLEN**

Your signature

Digitally signed by BRITTANY BOLEN  
Date: 2020.11.30 10:33:43 -05'00'

OGC/Ethics Use Only: received 11/30/20 JF

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

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# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

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## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**Preston Cory**

(b) (6)

**11/11/2020**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Policy and Communications Advisor**

**OAR**

**cory.preston@epa.gov**

(b) (6)

**Headquarters**

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

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**KATHERINE CORY** Digitally signed by KATHERINE CORY  
Date: 2020.11.12 09:44:06 -05'00'

Your signature

**Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.**

OGC/Ethics Use Only:



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## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**Katherine Preston Cory**

(b) (6)

**12/15/2020**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Policy and Communications Advisor**

**Office of Air and Radiation**

**Headquarters**

**cory.preston@epa.gov**

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

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*Katherine Preston Cory*

**12/17/2020**

Your signature (print this and sign it manually; no digital signatures)

Date signed

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# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**Katherine Preston Cory**

(b) (6)

**10/26/2020**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Policy and Communications Advisor**

**Office of Air and Radiation**

**Immediate Office**

**cory.preston@epa.gov**

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

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## RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
| 3. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?  
See page 2.

If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

For as long as I am negotiating for or have an agreement of employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1).

**KATHERINE CORY** Digitally signed by KATHERINE CORY  
Date: 2020.10.27 15:40:42 -04'00'

**October 27, 2020**

Your signature

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

**Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.**

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check “yes” to statement 2.

- Consider the particular matters your office works on and whether there is any connection to the work of this entity. Does your office work on permits, investigations, litigation, grants, licenses, contracts, applications, enforcement cases, or other similar types of matters where there is an identified non-federal entity (i.e., particular matters involving specific parties)?
- Also consider whether your office is involved in scientific programs, media programs, or other types of policies, procedures, guidance documents, regulations, etc., that would affect this particular industry or sector (i.e., particular matters of general applicability).

**Statement 3: The work I participate in affects or will affect the non-federal entity with which I am seeking employment.**

Think about the nexus between your work and the non-federal entity as well as its respective class, industry or sector. The closer the nexus between your work and the sector the non-federal entity belongs to, the more likely you will check have to check “yes” to statement 3.

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- Do you advise on or consult with your colleagues’ projects and work? Does their work affect this sector or the non-federal entity?
- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

**Need help answering these statements? Contact [ethics@epa.gov](mailto:ethics@epa.gov) to discuss.**

**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Alexander Javier Dominguez

Your full name

Senior Policy Advisor to the Administrator

Office of Air and Radiation

Your Title / Office / AAship or Region

(b) (6)

Your telephone number\*

dominguez.alexander@epa.gov

Your email address\*

November 16, 2020

Date negotiation or agreement began

American Petroleum Institute

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.

## RECUSAL STATEMENT

To assist in identifying your recusals obligations, check the appropriate boxes below:

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
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See page 2.

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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

**ALEXANDER DOMINGUEZ** Digitally signed by ALEXANDER DOMINGUEZ  
Date: 2020.11.30 17:14:00 -05'00'

Your signature

OGC/Ethics Use Only: filer indicates he interviewed on 11/16/20. Did not file form within 3 days (email from FM to JF)



**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

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- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
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- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

**Need help answering these statements? Contact [ethics@epa.gov](mailto:ethics@epa.gov) to discuss.**

**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Alexandra Dunn

Your full name

(b) (6)

Your telephone number\*

12/21/2020

Date negotiation or agreement began

Assistant Administrator

Your Title / Office / AAship or Region

(b) (6)

Your email address\*

(b) (6)

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

## RECUSAL STATEMENT

To assist in identifying your recusal obligations, check the appropriate boxes below:

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

ALEXANDRA DAPOLITO DUNN

Digitally signed by ALEXANDRA DAPOLITO DUNN  
Date: 2020.12.22 12:52:07 -05'00'

Your signature

OGC/Ethics Use Only: received 12/22/20 by JF, who added check marks for the filer in the "NO" columns for 2 and 3 above.

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
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**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Alexandra Dapolito Dunn

Your full name

Assistant Administrator

OCSPP

Your Title / Office / AAship or Region

(b) (6)

Your telephone number\*

12/28/2020

Date negotiation or agreement began

(b) (6)

Your email address\*

(b) (6)

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

## RECUSAL STATEMENT

To assist in identifying your recusal obligations, check the appropriate boxes below:

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

ALEXANDRA DAPOLITO DUNN

Digitally signed by ALEXANDRA DAPOLITO DUNN  
Date: 2020.12.30 18:09:34 -05'00'

Your signature

OGC/Ethics Use Only: received 12/30/20 by JF, who added check marks in boxes 2 and 3 for the filer.

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

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- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
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**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Alexandra Dapolito Dunn

Your full name

Assistant Administrator

OCSPP

Your Title / Office / AAship or Region

(b) (6)

Your telephone number\*

(b) (6)

Your email address\*

12/27/2020

Date negotiation or agreement began

(b) (6)

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

## RECUSAL STATEMENT

To assist in identifying your recusal obligations, check the appropriate boxes below:

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

ALEXANDRA DAPOLITO DUNN

Digitally signed by ALEXANDRA DAPOLITO DUNN  
Date: 2020.12.30 18:07:40 -05'00'

Your signature

OGC/Ethics Use Only: received 12-30-20 by JF, who added marks in boxes 2 and 3 for filer

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

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**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Alexandra Dapolito Dunn

Your full name

Assistant Administrator

OCSPP

Your Title / Office / AAship or Region

(b) (6)

Your telephone number\*

12/28/2020

Date negotiation or agreement began

(b) (6)

Your email address\*

(b) (6)

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

## RECUSAL STATEMENT

To assist in identifying your recusal obligations, check the appropriate boxes below:

- |    | Yes                                 | No                       |   |
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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

ALEXANDRA DAPOLITO DUNN

Digitally signed by ALEXANDRA DAPOLITO DUNN  
Date: 2020.12.30 18:06:01 -05'00'

Your signature

OGC/Ethics Use Only: Received 12-30-20 by JF, who added marks in boxes 2 and 3 for filer.

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**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

|  |                           |  |
|--|---------------------------|--|
| Amanda Fitzmorris                      | 202-564-5744              | 12/8/2020  |
| Your full name                         | Your telephone number*    | Date negotiation or agreement began  |
| Special Advisor/IOAA/ORD               | fitzmorris.amanda@epa.gov | (b) (6)  |
| Your Title / Office / AAship or Region | Your email address*       | Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation |

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.

## RECUSAL STATEMENT

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- |    | Yes                      | No                                  |   |
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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

**Fitzmorris, Amanda**

Digitally signed by Fitzmorris, Amanda  
Date: 2020.12.08 13:41:49 -05'00'

Your signature

OGC/Ethics Use Only: Received 12/11/2020 SVG



**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

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To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check “yes” to statement 2.

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- Also consider whether your office is involved in scientific programs, media programs, or other types of policies, procedures, guidance documents, regulations, etc., that would affect this particular industry or sector (i.e., particular matters of general applicability).

**Statement 3: The work I participate in affects or will affect the non-federal entity with which I am seeking employment.**

Think about the nexus between your work and the non-federal entity as well as its respective class, industry or sector. The closer the nexus between your work and the sector the non-federal entity belongs to, the more likely you will check have to check “yes” to statement 3.

- Will the work you do affect the sector? Don’t concentrate on whether your personal contributions will be determinative but rather, overall, how the outcome of the work itself will affect the sector and the non-federal entity with which you are negotiating.
- Do you advise on or consult with your colleagues’ projects and work? Does their work affect this sector or the non-federal entity?
- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

**Need help answering these statements? Contact [ethics@epa.gov](mailto:ethics@epa.gov) to discuss.**

OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

NOTIFICATION OF NEGOTIATION OR AGREEMENT

Dennis Lee Forsgren

202-564-0311

12/23/2020

Your full name

Your telephone number\*

Date negotiation or agreement began

Deputy Assistant Administrator

Office of Water

forsgren.lee@epa.gov

(b) (6)

Environmental Protection Agency

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
| 3. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?  
See page 2.

If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

For as long as I am negotiating for or have an agreement of employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1).

D. Lee Forsgren

Your signature (print this and sign manually; no digital signatures)

12/23/2020

Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only: received 12-30-20 by JF (which is within 3 business days of 12/23/20)

**Statement 1: EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is "yes" to any of the following questions, then you must answer "yes" to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

**Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.**

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check "yes" to statement 2.

- Consider the particular matters your office works on and whether there is any connection to the work of this entity. Does your office work on permits, investigations, litigation, grants, licenses, contracts, applications, enforcement cases, or other similar types of matters where there is an identified non-federal entity (i.e., particular matters involving specific parties)?
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### NOTIFICATION OF NEGOTIATION OR AGREEMENT

|  |                                 |  |
|--|---------------------------------|--|
| <b>Dennis Lee Forsgren</b>                     | <b>202-564-0311</b>             | <b>11/19/2020</b>  |
| _____<br>Your full name                        | _____<br>Your telephone number* | _____<br>Date negotiation or agreement began   |
| <b>Deputy Assistant Administrator</b>          | <b>forsgren.lee@epa.gov</b>     | <b>HBW Resources</b>   |
| <b>Office of Water</b>                         |                                 |  |
| <b>Environmental Protection Agency</b>         |                                 |  |
| _____<br>Your Title/ Office / AAship or Region | _____<br>Your email address*    | _____<br>Name of the non-federal entity with which you are negotiating or have an agreement for employment |

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

### RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
| 3. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?  
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If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusals obligations.

For as long as I am negotiating for or have an agreement of employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1).

Dennis Lee Forsgren  
Your signature (print this and sign manually; no digital signatures)

11/19/2020  
Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only: received 11/20/20

Last Updated: October 2016

#### Statement 1: EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.

If your answer is "yes" to any of the following questions, then you must answer "yes" to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

#### Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check "yes" to statement 2.

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- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

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**OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment**

Use one form per negotiation or agreement.

**NOTIFICATION OF NEGOTIATION OR AGREEMENT**

|  |                             |   |
|--|-----------------------------|---|
| <b>Dennis Lee Forsgren</b>             | <b>202-564-0311</b>         | <b>11/20/2020</b>   |
| Your full name                         | Your telephone number*      | Date negotiation or agreement began   |
| <b>Deputy Assistant Administrator</b>  | <b>forsgren.lee@epa.gov</b> | <b>(b) (6)</b>  |
| <b>Office of Water</b>                 |                             |   |
| <b>Environmental Protection Agency</b> |                             |   |
| Your Title/ Office / AAship or Region  | Your email address*         | Name of the non-federal entity with which you are negotiating or have an agreement for employment |

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

**RECUSAL STATEMENT**

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
| 3. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?  
See page 2.

If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

For as long as I am negotiating for or have an agreement of employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1).

Dennis Lee Forsgren  
Your signature (print this and sign manually; no digital signatures)

11/20/2020  
Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only: received 11-20-20

Last Updated: October 2016

**Statement 1: EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is "yes" to any of the following questions, then you must answer "yes" to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

**Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.**

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check "yes" to statement 2.

- Consider the particular matters your office works on and whether there is any connection to the work of this entity. Does your office work on permits, investigations, litigation, grants, licenses, contracts, applications, enforcement cases, or other similar types of matters where there is an identified non-federal entity (i.e., particular matters involving specific parties)?
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- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

Need help answering these statements? Contact [ethics@epa.gov](mailto:ethics@epa.gov) to discuss.



**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Taylor Greenberg

Your full name

Special Advisor

Office of the Administrator

Your Title / Office / AAship or Region

(b) (6)

Your telephone number\*

(b) (6)

Your email address\*

12/21/2020

Date negotiation or agreement began

(b) (6)

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

## RECUSAL STATEMENT

To assist in identifying your recusal obligations, check the appropriate boxes below:

- |    | Yes                      | No                                  |   |
|----|--------------------------|-------------------------------------|---|
| 1. | <input type="checkbox"/> | <input checked="" type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input type="checkbox"/> | <input checked="" type="checkbox"/> | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
| 3. | <input type="checkbox"/> | <input checked="" type="checkbox"/> | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?  
See page 2.

**For as long as I am negotiating for, or have an agreement of, employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1). I understand that it is my responsibility to consult with OGC/Ethics if I have questions regarding my recusal obligations.**

Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):



Digitally signed by Taylor Greenberg  
Date: 2020.12.22 08:59:56 -05'00'

Your signature

OGC/Ethics Use Only:

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

**Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.**

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check “yes” to statement 2.

- Consider the particular matters your office works on and whether there is any connection to the work of this entity. Does your office work on permits, investigations, litigation, grants, licenses, contracts, applications, enforcement cases, or other similar types of matters where there is an identified non-federal entity (i.e., particular matters involving specific parties)?
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- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

**Need help answering these statements? Contact [ethics@epa.gov](mailto:ethics@epa.gov) to discuss.**

# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

|                                       |                                 |   |
|---------------------------------------|---------------------------------|---|
| <b>Catherine Paige Hanson</b>         | <b>(b) (6)</b>                  | <b>October 22, 2020</b>   |
| Your full name                        | Your telephone number*          | Date negotiation or agreement began   |
| <b>Associate CFO for Policy</b>       | <b>hanson.catherine@epa.gov</b> | <b>(b) (6)</b>  |
| <b>OCFO</b>                           |                                 |   |
| Your Title/ Office / AAship or Region | Your email address*             | Name of the non-federal entity with which you are negotiating or have an agreement for employment |

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

## RECUSAL STATEMENT

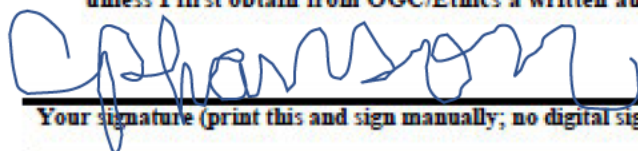
Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
| 3. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?  
See page 2.

If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

For as long as I am negotiating for or have an agreement of employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1).



**10/26/2020**

Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**John S. Irving, IV**

(b) (6)

**11/11/2020**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Deputy Assistant Administrator**

**OECA - Washington, D.C.**

**irving.john@epa.gov**

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.*

## RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
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**JOHN IRVING**

Digitally signed by JOHN IRVING  
Date: 2020.11.12 17:50:35 -05'00'

**11/12/2020**

Your signature

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

**Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.**

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check “yes” to statement 2.

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- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

**Need help answering these statements? Contact [ethics@epa.gov](mailto:ethics@epa.gov) to discuss.**



# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**John S. Irving, IV**

(b) (6)

**11/16/2020**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Deputy Assistant Administrator**

**OECA - Washington, D.C.**

**irving.john@epa.gov**

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

## RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
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**JOHN IRVING**

Digitally signed by JOHN IRVING  
Date: 2020.11.16 13:34:20 -05'00'

**11/16/2020**

Your signature

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

**Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.**

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check “yes” to statement 2.

- Consider the particular matters your office works on and whether there is any connection to the work of this entity. Does your office work on permits, investigations, litigation, grants, licenses, contracts, applications, enforcement cases, or other similar types of matters where there is an identified non-federal entity (i.e., particular matters involving specific parties)?
- Also consider whether your office is involved in scientific programs, media programs, or other types of policies, procedures, guidance documents, regulations, etc., that would affect this particular industry or sector (i.e., particular matters of general applicability).

**Statement 3: The work I participate in affects or will affect the non-federal entity with which I am seeking employment.**

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- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

**Need help answering these statements? Contact [ethics@epa.gov](mailto:ethics@epa.gov) to discuss.**

# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**John S. Irving, IV**

(b) (6)

**11/23/2020**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Deputy Assistant Administrator**

**OECA - Washington, D.C.**

**irving.john@epa.gov**

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.*

## RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
| 3. | <input type="checkbox"/>            | <input checked="" type="checkbox"/> | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?  
See page 2.

If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

For as long as I am negotiating for or have an agreement of employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1).

**JOHN IRVING**

Digitally signed by JOHN IRVING  
Date: 2020.11.30 08:42:12 -05'00'

Your signature

**Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.**

OGC/Ethics Use Only:

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

**Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.**

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check “yes” to statement 2.

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# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**John S. Irving, IV**

(b) (6)

**11/18/2020**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Deputy Assistant Administrator**

**OECA - Washington, D.C.**

**irving.john@epa.gov**

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.*

## RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
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| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
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See page 2.

If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

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**JOHN IRVING**

Digitally signed by JOHN IRVING  
Date: 2020.11.30 08:47:19 -05'00'

Your signature

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

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- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
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# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**John S. Irving, IV**

(b) (6)

**12/08/2020**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Deputy Assistant Administrator**

**OECA - Washington, D.C.**

**irving.john@epa.gov**

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

## RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
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Need help answering these statements?  
See page 2.

If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

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**JOHN IRVING**

Digitally signed by JOHN IRVING  
Date: 2020.12.08 14:21:02 -05'00'

Your signature

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

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- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
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# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**John S. Irving, IV**

(b) (6)

**12/14/2020**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Deputy Assistant Administrator**

**OECA - Washington, D.C.**

**irving.john@epa.gov**

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

## RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
|----|-------------------------------------|-------------------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/>            | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
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**JOHN IRVING**

Digitally signed by JOHN IRVING  
Date: 2020.12.14 13:43:52 -05'00'

Your signature

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

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# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**Owen McDonough**

(b) (6)

**10/23/20**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Senior Science Advisor / IO / OW**

(b) (6)

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

## RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
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OWEN MCDONOUGH Digitally signed by OWEN MCDONOUGH  
Date: 2020.10.26 21:58:46 -04'00'

**10/26/20**

Your signature (print this and sign manually; no digital signatures)

Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

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# OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment

Use one form per negotiation or agreement.

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

**Owen McDonough**

(b) (6)

**11/3/20**

Your full name

Your telephone number\*

Date negotiation or agreement began

**Senior Science Advisor / IO / OW**

(b) (6)

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

## RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
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Need help answering these statements?  
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If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

For as long as I am negotiating for or have an agreement of employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1).

OWEN MCDONOUGH Digitally signed by OWEN MCDONOUGH  
Date: 2020.11.04 12:26:26 -05'00'

**11/4/20**

Your signature (print this and sign manually; no digital signatures)

Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

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- Do you actively supervise or assign work to subordinates? Do those assignments affect the sector or the non-federal entity?

**Need help answering these statements? Contact [ethics@epa.gov](mailto:ethics@epa.gov) to discuss.**

**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

|   |                               |   |
|---|-------------------------------|---|
| Taylor Meredith                               | (b) (6)                       | 12/08/2020  |
| <b>Your full name</b>                         | <b>Your telephone number*</b> | <b>Date negotiation or agreement began</b>  |
| Senior Advisor for Strategic Initiatives      |                               |   |
| Administrator's Office                        | (b) (6)                       | (b) (6)   |
| <b>Your Title / Office / AAship or Region</b> | <b>Your email address*</b>    | <b>Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation</b> |

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

## RECUSAL STATEMENT

To assist in identifying your recusal obligations, check the appropriate boxes below:

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
| 1. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.   |
| 2. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | My office in EPA does work that affects the non-federal entity with which I am seeking employment.          |
| 3. | <input checked="" type="checkbox"/> | <input type="checkbox"/> | The work I participate in affects or will affect the non-federal entity with which I am seeking employment. |

Need help answering these statements?  
See page 2.

For as long as I am negotiating for, or have an agreement of, employment or compensation with the entity listed above, I will not participate personally and substantially in any particular matter that has a direct and predictable effect on the financial interests of this entity, unless I first obtain from OGC/Ethics a written authorization or waiver consistent with 5 C.F.R. § 2635.605 or 18 U.S.C. § 208(b)(1). I understand that it is my responsibility to consult with OGC/Ethics if I have questions regarding my recusal obligations.

Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

  
Your signature

OGC/Ethics Use Only: received 12/15/20 JF

OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment  
Use one form per negotiation or agreement.

NOTIFICATION OF NEGOTIATION OR AGREEMENT

Jordan Pic

(b) (6)

12/14/2020

Your full name

Your telephone number\*

Date negotiation or agreement began

Special Advisor for Intergovernmental Affairs

pic.jordan@epa.gov

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                                  |   |
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Your signature (print this and sign manually; no digital signatures)

Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

received 12/11/20 - JF



**Statement 1: EPA's programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is "yes" to any of the following questions, then you must answer "yes" to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
- Is the non-federal entity a membership organization in which the majority of the members are described in the preceding questions?

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To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check "yes" to statement 2.

- Consider the particular matters your office works on and whether there is any connection to the work of this entity. Does your office work on permits, investigations, litigation, grants, licenses, contracts, applications, enforcement cases, or other similar types of matters where there is an identified non-federal entity (i.e., particular matters involving specific parties)?
- Also consider whether your office is involved in scientific programs, media programs, or other types of policies, procedures, guidance documents, regulations, etc., that would affect this particular industry or sector (i.e., particular matters of general applicability).

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NOTIFICATION OF NEGOTIATION OR AGREEMENT

Jordan Pic

(b) (6)

12/16/20

Your full name

Your telephone number\*

Date negotiation or agreement began

Special Advisor for Intergovernmental  
Relations/OCIR

pic.jordan@epa.gov

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are  
negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

RECUSAL STATEMENT

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Your signature (print name and sign manually; no digital signatures)

Date signed

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OGC/Ethics Use Only: received 12/16/20 -- JF

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OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment  
Use one form per negotiation or agreement.

NOTIFICATION OF NEGOTIATION OR AGREEMENT

**Kelley Raymond**

(b) (6)

**October 29**

Your full name

Your telephone number\*

Date negotiation or agreement began

Senior Advisor to the Office of Air and  
Radiation / OAR

**raymond.kelley@epa.gov**

(b) (6)

Your Title/ Office / Assignment or Region

Your email address\*

Name of the non-federal entity with which you are  
negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

RECUSAL STATEMENT

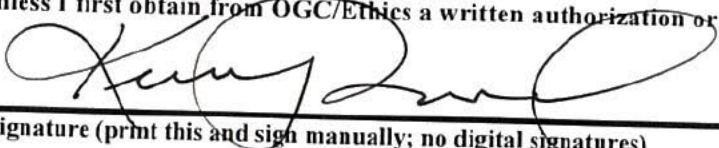
Check the appropriate box for each statement.

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
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Need help answering  
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Your signature (print this and sign manually; no digital signatures)

**11/2/20**

Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:



OGE-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment  
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NOTIFICATION OF NEGOTIATION OR AGREEMENT

**Kelley Raymond**

(b) (6)

**11/11/20**

Your full name

Your telephone number\*

Date negotiation or agreement began

Senior Advisor

Office of Air and Radiation

**raymond.kelley@epa.gov**

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

RECUSAL STATEMENT

Check the appropriate box for each statement.

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
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Your signature (print this and sign manually; no digital signatures)

**11/12/2020**

Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:

OGC-278 Filers Must Notify OGC/Ethics about Any Negotiation or Agreement for Future Employment  
Use one form per negotiation or agreement.

NOTIFICATION OF NEGOTIATION OR AGREEMENT

**Kelley Raymond**

Your full name

Senior Advisor to the Office of Air and Radia  
OAR

(b) (6)

Your telephone number\*

raymond.kelley@epa.gov

**December 17, 2020**

Date negotiation or agreement began

(b) (6)

Your Title/ Office / AAship or Region

Your email address\*

Name of the non-federal entity with which you are  
negotiating or have an agreement for employment

\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may use a personal email address or cell number.

RECUSAL STATEMENT

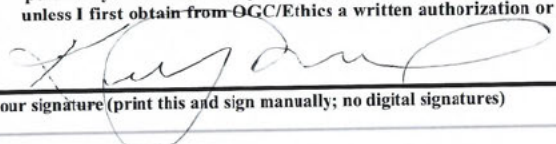
Check the appropriate box for each statement.

- |    | Yes                                 | No                       |   |
|----|-------------------------------------|--------------------------|---|
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Need help answering  
these statements?  
See page 2.

If you answered "yes" to statements 2 and/or 3, then you must read and sign the statement below acknowledging your recusal obligations.

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Your signature (print this and sign manually; no digital signatures)

**December 22, 2020**

Date signed

Scan and Submit forms to [ethics@epa.gov](mailto:ethics@epa.gov) or fax to OGC/Ethics at 202-564-1772.

OGC/Ethics Use Only:



**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Abigale Danielle Tardif

Your full name

Policy and Communications Advisor

Office of Air and Radiation

Your Title / Office / AAship or Region

(b) (6)

Your telephone number\*

Tardif.Abigale@epa.gov

Your email address\*

December 17, 2020

Date negotiation or agreement began

Americans For Prosperity

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

## RECUSAL STATEMENT

To assist in identifying your recusal obligations, check the appropriate boxes below:

- |    | Yes                      | No                                  |   |
|----|--------------------------|-------------------------------------|---|
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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

**ABIGALE TARDIF**

Your signature

Digitally signed by ABIGALE TARDIF  
Date: 2020.12.21 13:17:26 -05'00'

OGC/Ethics Use Only:

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

If your answer is “yes” to any of the following questions, then you must answer “yes” to statement 1.

- Is the non-federal entity seeking official action from EPA (even if not your own office)?
- Does the non-federal entity do business or seek to do business with the EPA (even if not your own office)?
- Does the non-federal entity conduct activities that EPA regulates (even if not in your own office)?
- Does the non-federal entity have interests that may be substantially affected by the performance or non-performance of your official duties?
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**Statement 2: My office in EPA does work that affects the non-federal entity with which I am seeking employment.**

To answer this question, think about the nexus between the work of your office and the non-federal entity. The closer the nexus, the more likely you will have to check “yes” to statement 2.

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**REQUIREMENT: Notify OGC/Ethics within 3 business days of commencing the negotiation or agreement for post-government employment or compensation**

## NOTIFICATION OF NEGOTIATION OR AGREEMENT

Andrea Woods

Your full name

Special Assistant, Office of Public Affairs

(b) (6)

Your telephone number\*

12/23/2020

Date negotiation or agreement began

(b) (6)

Your Title / Office / AAship or Region

Your email address\*

Consumer Brands Association

Name of non-federal entity that you are negotiating with or have an agreement for employment or compensation

*\* Provide information for OGC/Ethics to use to contact you as part of our official duty. For this purpose, you may provide a personal email address or cell number.*

## RECUSAL STATEMENT

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Sign and submit to [ethics@epa.gov](mailto:ethics@epa.gov):

**ANDREA WOODS**

Your signature



Digitally signed by ANDREA WOODS  
Date: 2020.12.24 07:53:34 -05'00'

OGC/Ethics Use Only: received 12/24/20 - JF

**Statement 1: EPA’s programs, policies, or operations affect the non-federal entity with which I am seeking employment.**

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